

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2001

Application or Docket Number

655.00947

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	20	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	20 minus 20 =	* 0
INDEPENDENT CLAIMS	6 minus 3 =	* 3
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)		(Column 2)	(Column 3)
		Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
Total	* 22	Minus	** 20	= 2	
Independent	* 3	Minus	*** 6	= 0	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					<input type="checkbox"/>

SMALL ENTITY TYPE	OTHER THAN OR SMALL ENTITY
RATE	FEES
BASIC FEE	370.00
X\$ 9=	
X42=	
+140=	
TOTAL	992.00
OR	OR
BASIC FEE	740.00
X\$18=	0
X84=	252.00
+280=	0
OR	OR
TOTAL	992.00

SMALL ENTITY TYPE	OTHER THAN OR SMALL ENTITY
RATE	ADDI- TIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDT. FEE	360.00
OR	OR
X\$18=	360.00
X84=	
+280=	
OR	OR
TOTAL ADDT. FEE	360.00

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)	(Column 2)	(Column 3)	AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)	(Column 2)	(Column 3)	AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)	(Column 2)	(Column 3)		
Total	* 22	Minus	** 20	= 2												
Independent	* 3	Minus	*** 6	= 0												
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)	(Column 2)	(Column 3)	AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)	(Column 2)	(Column 3)	AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	(Column 1)	(Column 2)	(Column 3)		
Total	* 10	Minus	** 8	= 2												
Independent	* 8	Minus	*** 6	= 2												
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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- Sales Receipt -

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655.00947
Index 904
PATENT

4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

STEPHEN B. MEMORY et al

Serial No. 10/020,782

Filed December 12, 2001

)
) SPLIT FIN FOR A HEAT EXCHANGER
)
) Group Art Unit 3743
)
) Examiner Tho V. Duong
)

AMENDMENT "C"

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

MAY 18 2004

TECHNOLOGY CENTER R3700

Sir:

In response to the Office Action dated February 10, 2004 (Paper No. 12),

Applicants amend as follows:

37 CFR 1.8
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 10, 2004.

Signature: Karen Sanderson

Name: Karen Sanderson

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PATENT

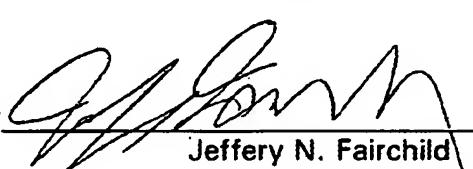
are respectfully submitted to be allowable, it is believed that added claims 24-35 should be considered and are allowable.

In view of the foregoing, Applicants respectfully request reconsideration of the rejections of claims 8, 13, 20 and 23, consideration of new claims 24-35 in view of the believed allowability of claims 8 and 23, and consideration of withdrawn claims 14-19 in view of the believed allowability of claim 13.

Respectfully submitted,

WOOD, PHILLIPS, KATZ,
CLARK & MORTIMER

By


Jeffery N. Fairchild
Reg. No. 37,825

May 10, 2004

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Chicago, IL 60661-2511
(312) 876-1800

COMMISSIONER FOR PATENTS JC892

PTO

Washington, D.C. 20231

Docket No.

655.00947

18

Sir:

12/12/01

Transmitted herewith for filing is the patent application of:

Inventor: Stephen Memory, C. James Rogers, Gregory G. Hughes, Frank M. Grippe, Rif Cheema,
For: SPLIT FIN FOR A HEAT EXCHANGER William Karkusen and Kenneth Ritt

Enclosed are:

3 sheets of drawing.

An assignment of the invention to _____

A certified copy of a _____

An associate power of attorney.

Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

US PTO JC892 12/12/01 application.

The filing fee has been calculated as shown below:

(Col. 1)	(Col. 2)
FOR:	NO. FILED
BASIC FEE	NO. EXTRA
TOTAL CLAIMS	20 - 20 = • 0
INDEP. CLAIMS	6 - 3 = • 2
MULTIPLE DEPENDENT CLAIM PRESENTED	

* If the difference in Col. 1 is less than zero, enter 0 in Col. 2.

SMALL ENTITY		OTHER THAN A SMALL ENTITY	
RATE	FEE	RATE	FEE
	\$ 370		\$ 740
x 9 =		OR	
x 42 =		x 18 =	\$ 0
+ 140 =		OR	\$ 168
		+ 280 =	
	TOTAL		TOTAL
			\$ 908

Please charge my Deposit Account No. _____ the amount of \$ _____ A duplicate copy of this sheet is enclosed.

A check in the amount of \$ 908.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0785. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-0785. A duplicate copy of this sheet is enclosed.

Any patent application processing fees under 37 CFR 1.17.

The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EL841173957IIS
Date of Deposit December 12, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Karen A. Sanderson
(Typed or printed name of person mailing paper or fee)Karen A. Sanderson
(Signature of person mailing paper or fee)

Jeffrey L. Clark, Reg. No. 29,141

Jeffrey L. Clark